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DOUGHERTY & COMPANY LLC

David Rosedahl Joining Dougherty & Company LLC as Corporate Counsel

SEPTEMBER 3, 2015 - Dougherty & Company is pleased to announce David Rosedahl will be joining the firm as Corporate Counsel. David's law career spans over 40 years and includes time spent at Piper Jaffray, Pacific Exchange, Inc. and Briggs and Morgan Law Firm. David also spent time as an independent consultant working with securities industry-related projects involving legal, compliance and regulatory issues, both domestic and international. During this time he served as a member of teams consulting with international government and securities industry regulators to address capital markets issues in India, Egypt, Colombia and Philippines.



David has also served as author and co-author of multiple articles, presenter and co-presenter on many legal presentations and moderator and panelist for a multitude of forums, seminars and meetings. He is a current board member and audit committee chair for the Chicago Stock Exchange, elected May 2013.

Mr. Rosedahl's education includes Columbia Law School, New York City, New York; Columbia College, New York City, New York; and Minnesota Executive Program, Carlson School of Management, University of Minnesota. He is a member of the American Bar Association.

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About Dougherty & Company Dougherty & Company is a full-service investment banking firm offering a wide array of investment products and services to institutions and individuals nationwide. Visit www.doughertymarkets.com for more information.