



Innovative Financial Solutions Nationwide

DOUGHERTY FINANCIAL GROUP LLC

Dougherty Financial Group Celebrates 40th Anniversary

From a Regional Bond Broker to a National Diversified Financial Services Company

MINNEAPOLIS, MINN. – October 2, 2017 – Firmly rooted with an eye to the future, Dougherty Financial Group LLC has recently celebrated its 40th anniversary. The diversified financial services company started humbly in the summer of 1977 when four friends in the bond department of Minneapolis-based Dain Bosworth decided to launch their own firm, pooling \$400,000 in seed capital. By September, they had acquired signature space in the iconic 57-story IDS Center.

By the end of its first decade in 1987, the firm had grown to more than 30 employees and had a wide-ranging tax-exempt bond business, as well as a thriving mutual fund operation serving retail and institutional clients. The firm continued to expand and diversify, refining existing business units, creating new ones and pursuing strategic acquisition to penetrate markets and counterbalance cyclical business risks. Today, Dougherty Financial Group and its seven business units employ nearly 270 people across 26 offices in 16 states. The firm's market positions are strong and its leadership team is immensely capable to further grow and evolve the broad array of Dougherty businesses over the next 40 years.

The complete group of Dougherty companies includes: Dougherty Financial Group LLC; Dougherty & Company LLC; Dougherty Funding LLC; Dougherty Mortgage LLC; Dougherty Equipment Finance LLC; Dougherty Wealth Advisers LLC; Dougherty Real Estate Equity Advisors LLC; and Dougherty Insurance Agency LLC.

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About Dougherty Financial Group LLC

Dougherty Financial Group LLC is a diversified financial services company founded in 1977. Through its wholly-owned or commonly controlled entities, Dougherty Financial Group provides investment advisory services, commercial lending, investment banking, and brokerage services to individual, corporate, and governmental clients.